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| UTILITY |

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PATENT APPLICATION INTERGROUP WORKING MODEL ΑN Title TRANSMITTAL 672 550 EE 25, Express Mail Label No. (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 * Fee Transmittal Form (e.g., PTO/SB/17) Χ 5. Microfiche Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 6. Nucleotide and/or Amino Acid Sequence Submission Χ Specification Total Pages (if applicable, all necessary) (preferred arrangement set forth below) Computer Readable Copy a. - Descriptive title of the Invention - Cross References to Related Applications 🔿 b. Paper Copy (identical to computer copy) - Statement Regarding Fed sponsored R & D O C. Statement verifying identity of above copies - Reference to Microfiche Appendix - Background of the Invention ACCOMPANYING APPLICATION PARTS - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 🗸 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) 9 English Translation Document (if applicable) - Abstract of the Disclosure Information Disclosure Copies of IDS 10. Drawing(s) (35 U.S.C. 113) [Total Sheets 3. Statement (IDS)/PTO-1449 Citations Oath or Declaration Preliminary Amendment Total Pages Return Receipt Postcard (MPEP 503) Χ 12. а Newly executed (original or copy) (Should be specifically itemized) Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) * Small Entity Statement filed in prior application, Χ Statement(s) Status still proper and desired DELETION OF INVENTOR(S) (PTO/SB/09-12) Certified Copy of Priority Document(s) PROG (if foreign priority is claimed) COPY CFP Other: POSTER SESSION, 6/99 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY RSRCH. 2000: MODE FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28). DESIGN, 2/00: QUAL CIRCLE USE3 M 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation Divisional Continuation-in-part (CIP) of prior application No: Prior application information: Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Correspondence address below (Insert Customer No. or Attach bar code label here) CAROL RUFANT Name P.U. BOX 14695 Address BERKELEY Zip Code City State USA 510.653-1294 Country Telephone CAROL TRUFANT, PH.D Name (Pnnt/Type) Registration No. (Attorney/Agent)

U6-09-00 Signature Date

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1c836 U.S. PTO 09/59/1147

| Box Patent Application |
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| Assistant Commissioner for Patents |
| Washington, District of Columbia 20231 |
| Sir: |
| Please file the following enclosed patent application papers: |
| Applicant #1, Name: CARO A- RUTAN |
| Applicant #2, Name: |
| Title: An Interarrup Working Molel for Social Coulle |
| Specification, Claims, and Abstract; Nr. of Sheets On Explication, Claims, and Abstract; Nr. of Sheets |
| Declaration: Date Signed: |
| Drawing(s): Nr. of Sheets Enc.: Formal: Informal: |
| Small Entity Declaration of Inventor(s) SED of Non-Inventor / Assignee / Licensee |
| Assignment enclosed with cover sheet and recordal fee; please record and return |
| Check for \$ 345 for: Welly & # 185 for Design |
| 1 5 155 for filling fee (not more than three independent claims and twenty total claims are presented). |
| additional if Assignment is enclosed for recordal. |
| Information Disclosure Statement, Form PTO-1449, and listed references. Alstact of ? |
| D Disclosure Document Program reference Jetter. |
| Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. Nr. |
| tiled, |
| Return Receipt Postcard Addressed to Applicant #1. |
| Request Under MPEP § 707.07(j): The undersigned, a pro se applicant, respectfully requests that if the Examiner finds |
| patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the |
| Examiner draft one or more allowable claims for applicant. |
| |
| Very respectfully A |
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| Applicant #2 Signature Applicant #2 Signature |
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UTILITY PATENT APPLICATION

UTILITY PATENT APPLICATION TRANSMITTAL - 1

FEE TRANSMITTAL FOR FY 200 1

MONEY ORDER FOR \$345.-

STATEMENT CLAIMING SMALL ENTITY STATUS - 1

Utility Patent application - Specification - 6 pages

- Fig 1 & 3 Fig 3 - 2 PP DRAWINGS

DECLARATION

Extra MATERIAL

POSTER SESSION 5 PP POSTER SESSION PROGRAM SHOWING WHEN I PRESENTED 6-10-99 PLAN OF RESEARCH 5 PP + 2 FIGURES I & 3, & 1

DESIGN PROPOSAL - 2-14-00 - 2 PP + Fig 1 & 3

DESCRIPTION OF MODEL 'S USE FOR QUALITY CIRCLES IN THE WORKPLACE

6 PP INCLUDING MODEL (FIG. 1 & 3

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number STATEMENT CLAIMING SMALL ENTITY STATUS Docket Number (Optional) (37 CFR 1.9(f) & 1.27(b))—INDEPENDENT INVENTOR Applicant, Patentee, or Identifier. CAROL A. TRUFANT Application or Patent No.: JUNE 9, 2000 Filed or Issued: AN INTERGROUP WORKING MODEL FOR Title: As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in: the specification filed herewith with title as listed above. the application identified above. the patent identified above. I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below: No such person, concern, or organization exists. Each such person, concern, or organization is listed below. Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27) I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)) CAROL A. TRUFANT NAME OF INVENTOR NAME OF INVENTOR NAME OF INVENTOR Signature of inventor Signature of inventor JUNE 9, 2000 Date Date

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UTILITY PATENT APPLICATION

SPECIFICATION

PER 37 CFR S 1.71(E):

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TITLE OF INVENTION

An Intergroup Working Model for Social Conflict Resolution

CROSS-REFERENCE TO RELATED APPLICATIONS - NOT APPLICABLE

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT-Not Applicable

REFERRENCE TO MICROFICHE APPENDIX: - NOT APPLICABLE

BACKGROUND OF THE INVENTION:

CLINICAL (CONSULTING) PSYCHOLOGY IS THE FIELD OF ENDEAVOR PERTAINING TO MY INVENTION. MORE THAN 1.3 YEARS AGO, I BECAME INTERESTED IN TAVISTOCK GROUP RELATIONS WHILE CO-LEADING A PSYCHOANALYTIC OBJECT RELATIONS (KLEINIAN) GROUP FOR 1 YEAR. DURING MY GENERAL INTERNSHIP AT NEUROPSYCHIATRIC INSTITUTE AT UCLA, 7-74 THROUGH 6/75.

GROUP RELATIONS WORK IS CONCERNED WITH STUDYING HOW GROUPS IN

ORGANIZATIONS ACT TOWARD ONE ANOTHER IN RE WORK COMPLETION, OR NOT; AND HOW INDIVIDUAL DYNAMICS AFFECT GROUP DYNAMICS, AND THIS ENDPOINT.

THIS INTEREST COUPLED WITH MY PRIOR AND CONTINUING VARIOUS SYSTEMS SUCH AS FAMILY COMMUNITY, ECOLOGICAL, STRUCTURAL, PARADOXICAL; AND 'LIVING', THE LATTER MOST CLOSELY RELATED TO TAVISTOCK GROUP RELATIONS

PARTICIPATION AND STAFF CONSULTATION IN SAID CONFERENCES AFFORDED ME MORE EXPERIENCE AND APPRECIATION OF THE POSSIBILITY OF DIDDFERENT WAY OF RELATING TO OTHERS AND RESOLVING CONFLICT.

In 1989, I used this model to apply for a Nato fellowship; 10 yrs latter, my revised proposal was accepted as a poster session, at Yale, June 10, 1999.

copies of My Reviews of Literature are enclosed in extra material such as History, Proposal, 11-28, 1989, and the Poster Session post print

BRIEF SUMMARY OF THE INVENTION

SCRA 7th Biennial Conference Poster Session
New Haven
June 10, 1999

Social Conflict Resolution: An Intergroup Working Model
Carol A. Trufant, Ph. D.
Berkeley, CA

Purpose: Practice taking personal responsibility for behavior and resolution of differences, conflict, and problem solving through interactive learning. Adults have difficulty authorizing themselves and others to take on leadership roles, often resulting in a lack of resolution of group issues, i.e., rudeness, low work productivity, workplace violence. Time, role, and format affects our propensity to hoard power, project anxieties and dilemmas about exercising power, and responsibility for change, across groups. Movement outside of

ONE'S GROUP, A REFRAMING, WILL ENHANCE RECOGNITION OF OTHERS' VIEW, BELIEFS, AND PROVIDE AN ENVIRONMENT MORE ACCESSIBLE TO DIALOGUES TOWARD COLLABORATIVE PROBLEM SOLVING.

METHOD:

SUBJECTS WILL BE PART OF A LARGE INTERDEPENDENT GROUP MADE UP OF 3 INTERGROUPS: THE COMMUNITY/THE WORKERS (C/W); THE POLITICIANS/TOP MANAGEMENT (P/TM); THE ACTIVISTS/MIDDLE MANAGEMENT (A/MM). PARTICIPANTS WILL BE RANDOMLY ASSIGNED TO GROUPS. THREE VOLUNTEERS WILL ACT AS 'CHORUS' (CONSULTANTS).

SETTING WILL CONSISTS OF MIDDLE GROUP SPACE, AND SURROUNDING AREAS-2 GRADUATED STAGES; SWINGING DOORS AS PERMEABLE BOUNDARIES, SEPARATING TWO DIFFERENT AREAS:

Boundary Space (BOS) #1, the isles within each group, and surrounding the middle group, and BOS #2, a space, like a stage where all can observe. Conflicts not resolved in BOS #1 will move into this space.

PROCEDURALLY, DIFFERENCES OF OPINION WILL EMERGE AROUND ONE TOPIC INCLUDING THE MIDDLE EAST SITUATION, A WORK SITUATION, A SCHOOL/ADOLESCENT/PARENT CONFLICT, ETC.

THREE VOLUNTEERS PER TOPIC WILL ACT AS THE 'CHORUS' RESPONSIBILE FOR GIVING CONTINUAL RUNNING COMMENTARY. THEY ARE TO HELP ME REFRAME DISAGREEMENTS TO BE RESOLVED IN BOS 1 or 2, AS NEEDED.

Time needed: 3½ hours: 30 minutes to explain and get everyone assigned to a group and seated; 30 minutes for the event to occur; another 45 minutes for all group members to change groups, and continue, e.g., the A/MM become C/TW, and the latter, the P/TM, and this last group, the A/MM. The exercise will continue with participants in different roles; new volunteers will replace the chorus, and maybe me. At the end, 60 minutes will be reserved for

DISCUSSION OF THIS EVENT BY MEMBERS ABOUT VARIOUS ROLES THEY ASSUMED DURING THE TRAINING (REVIEW), AND HOW THESE ROLES MIGHT AFFECT THEIR BEHAVIOR TOWARDS AUTHORITY AND LEADERSHIP OUTSIDE OF THIS TRAINING (APPLICATION). AN EXTRA 20 MINUTES WILL BE AVAILABLE FOR DEBRIEFING AS NECESSARY. TIME WILL BE ALLOTTED FOR FOLLOW-UP ISSUES AS INDICATED.

EVALUATION: A 1 PAGE LIKERT-TYPE QUESTIONNAIRE WILL BE DISTRIBUTED; COMPLETION TIME: @ 15 MINUTES.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

SPECIFICATION -

To the Commissioner of Patents and Trademarks:

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YOUR PETITIONER, CAROL A. TRUFANT, Ph.D., A CITIZEN OF THE UNITED STATES AND A RESIDENT OF OAKLAND, CALIFORNIA, PRAYS THAT LETTERS PATENT MAY BE GRANTED FOR THE DESIGN FOR A SURFACE PATTERN (MODEL) APPLIED TO ROOM, OR BUILDING, AS SET FORTH IN THE FOLLOWING SPECIFICATION:

FIG. 1 IS A TOP PLAN VIEW OF A SURFACE PATTERN (MODEL) APPLIED TO A SETTING, I.E, ROOM IN A BUILDING (WORKPLACE) FOR USE WITH ADULTS; CAN USE AS MODEL FOR QUALITY CIRCLE, ALSO.

FIG. 2 IS A TOP PLAN VIEW OF A SURFACE PATTERN (MODEL) APPLIED TO A SETTING, I.E., ROOM IN A BUILDING (SCHOOL OR CHURCH) FOR USE WITH ADOLESCENTS, PARENTS, TEACHERS, ADMINISTRATORS.

FIG. 3 IS A TOP PLAN VIEW OF A SURFACE PATTERN (MODEL) APPLIED AS THE DESIGN FOR A BUILDING OR PART THEREOF.

DETAILED DESCRIPTION OF THE INVENTION

Purpose: Practice taking personal responsibility for behavior and resolutions of differences, conflict and problem solving through interactive learning. Adults (human beings) have difficulty authorizing themselves andothers to take on leadership roles, often resulting in lack of resolution of intra and intergroup issues, i.e, interpersonal difficulties, and violence at work, home, school and the communit. Time, role and format will affect our propensity to hoard power, as well as the 'promise' of acquiring it while projecting onto others our anxieties and dilemmas about exercising authority, leadership, and taking responsibility for change. Movement outside of one's group, inherently a reframing move, will enhance recognition of splitting-off of (ignoring) others' views/beliefs, and bring about collaborative problem solving.

THE SETTING WILL CONSIST OF 2 RAISED STAGES AND SWINGING DOORS TO REPRESENT PERMEABLE BOUNDARIES.

THESE DOORS WILL BIND TWO DIFFERENT AREAS:

- 1) BOUNDARY SPACE (BOS) #1. THE ISLES IN BETWEEN EACH GROUP, I.E, THE BOUNDARY EACH AROUND THE MIDDLE GROUP SHARED ON EACH SIDE BY GROUP #1 & #3; Two people form 2 to 3 different groups can meet in a common place in this spece. Around the middle group.
- 2) BOS #2. A SPACE DOWN BY AND A DISTANCE FROM THE MIDDLE GROUP, AWAY FROM ALL GROUPS, AS IF ON A STAGE, FOR ALL TO OBSERVE. CONFLICTS THAT CAN NOT BE RESOLVED IN BOS #1 WILL MOVE INTO THIS SPACE..

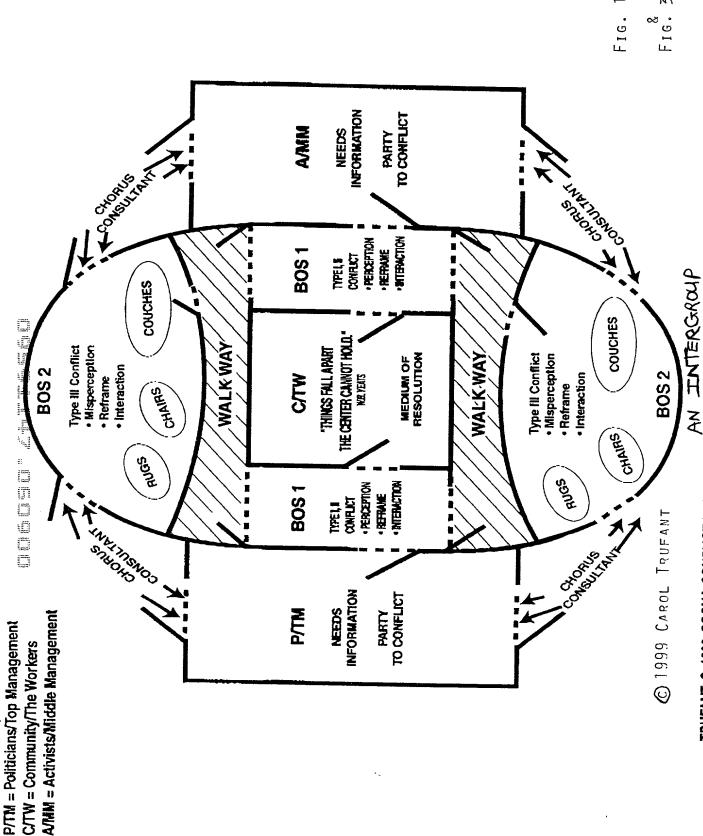
CLAIM:

I claim: The design for a surface pattern applied as shown and described.

ABSTRACT OF THE DISCLOSURE

Social Conflict Resolution: An Intergroup Working Model
CAROL A. Trufant, Ph. D.
Berkeley, CA

Purpose: Practice taking personal responsibility for behavior and resolution of differences, conflict, and problem solving through interactive learning. Adults have difficulty authorizing themselves and others to take on leadership roles, often resulting in a lack of resolution of group issues, i.e., rudeness, low work productivity, workplace violence. Time, role, and format affects our propensity to hoard power, project anxieties and dilemmas about exercising power, and responsibility for change, across groups. Movement outside of one's group, a reframing, will enhance recognition of others' view, beliefs, and provide an environment more accessible to dialogues toward collaborative problem solving.



BOS = Boundary Space

TRUFANT, C. 1999. SOCIAL CONFLICT RESOLUTION: & WORKING MODEL. 7TH BIENNIAL SCRA CONFERENCE, NEW HAVEN POSTER SESSION

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DECLARATION FOR <u>UTILITY</u> OR **DESIGN** PATENT APPLICATION

(37 CFR 1.63)

M Declaration OR Submitted with Initial Filing

☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

| Attorney Docket Nun | nber | | | | | | | |
|----------------------|------|------------|----|---------|---|--|--|--|
| First Named Inventor | CΑ | 모OL | Α. | TRUFANT | | | | |
| COMPLETE IF KNOWN | | | | | | | | |
| Application Number | | | / | | | | | |
| Filing Date | Jυ | ŅΕ | 9, | 200 | 0 | | | |
| Group Art Unit | | | | | | | | |
| Examiner Name | | | | | | | | |

| As a below named inventor, I hereby declare that: | | | | | | | |
|--|---|------------------------|-------------------------|---|--|--|--|
| My residence, post office address, and chizenship are as stated below next to my name. | | | | | | | |
| I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: | | | | | | | |
| AN IMTERGROUP WORKING MODEL FOR SOCIAL CONFLICT RESOLUTION | | | | | | | |
| the specification of which | Title | e of the Invention) | | | | | |
| is attached hereto OR | | | | | | | |
| was filed on (MM/E | D/YYYY) | as Unite | d States Applica | tion Number or PCT International | | | |
| Application Number | and w | as amended on (MM/DD/Y | YYY) | (if applicable). | | | |
| | eviewed and understand the ent specifically referred to abo | | tified specificatio | n, including the claims, as | | | |
| • • | disclose information which is | | defined in 37 CF | FR 1.56. | | | |
| | | • | | *** | | | |
| I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which phority is claimed. | | | | | | | |
| Prior Foreign Application | Country | Foreign Filing Date | Priority Not Claimed | Certified Copy Attached? | | | |
| Number(s) | Country | (MM/DD/YYYY) | Not Claimed | YES NO | | | |
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| ☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto: | | | | | | | |
| I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below. | | | | | | | |
| Application Number | (s) Filing Date | (MM/DD/YYYY) | | | | | |
| | | | numbe supple | onal provisional application ers are listed on a emental priority data sheet SB/02B attached hereto. | | | |
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[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

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| I hereby claim the United States of United States or I information which and the national of | America. PCT Inte | , listed below mational app nal to patent | and, ins lication in ability as | ofar as the sub the manner pro defined in 37 C | ject matter ovided by th CFR 1.56 wi | of each of e first para | the claims | of this appl U.S.C. 112. | cation is | not disclosed wiedge the dut | I in the prior | |
| U.S. Parent Application or PCT Parent Number | | | | | | | Filing D | | Pare | ent Patent I (if applical | | |
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| Additional U. | S. or PC | T internation | al applicat | tion numbers ar | e listed on a | suppleme | ntal priority | data sheet F | TO/SB/ | 02B attached h | nereto. | |
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| Name of Sole | or Fir | st Invento | or: | | | ☐ A pet | tion has b | een filed fo | orthis u | ınsigned inve | ntor | |
| | | (first and r | niddle [if | any]) | | Family Name or Surname | | | | | | |
| CAROL | Ann | | | | | TŖU | FANT | | | | | |
| Inventor's Signature | (| and | | lnn | | M | da | | | Date | 6-9-00 | |
| Residence: City | <u>, </u> | OAKLA | AND | State | CA | Countr | <u>, </u> | USA | | Citizenship | USA | |
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| City | | ERKEL | | CA | ZIP | 9471 | 2-56 | 95 c o. | intry | USA | | |
| Additional in | ventors | are being r | amed o | n thesur | plementa | Addition | al Invento | r(s) sheet(s |) PTO/ | SB/02A attac | hed hereto | |